

DHHS-Division of Medical Assistance
Proposed Submitted SPAs

Count	Transmittal Number	Subject of Amendment	Effective Date	Tribal Notification	Date Allowable for Submission	CMS Deadline	Received at CMS	Requested Additional Information	Additional Information Sent	Additional Comments
TWO THOUSAND THIRTEEN "2013"										
1	13-005	Tobacco Cessation	7/1/2013	5/15/2013	7/15/2013	9/30/2013	9/24/2013	10/23/2013 IRAI received from CMS; 11/13/2013 received IRAI on Reimbursement side	11/12/2013 Submitted IRAI response to CMS; 11/18/2013 submitted IRAI for Reimbursement	
2	13-006	UNC/ECU Dental Companion Letter Response	7/1/2013	12/17/2012	2/17/2013	9/30/2013	Pending			
3	13-007	Hospice	7/1/2013	5/29/2013	7/29/2013	9/30/2013	9/19/2013	10/15/2013 received IRAI from CMS; 11/13/2013 received IRAI on Reimbursement side	10/22/2013 submitted the IRAI responses to CMS; 11/18/2013 submitted IRAI for Reimbursement	
4	13-008	ParaGard	9/1/2013	7/11/2013	9/11/2013	9/30/2013	Pending	Pending		
5	13-009	Personal Care Services (PCS)	10/1/2013	7/11/2013	9/11/2013	12/31/2103	10/2/2013	10/22/2013 DMA requested conference call to discuss proposed SPA; CMS conference call was scheduled for 10/23/2013; 10/22/2013 IRAI Submitted by CMS; 10/28/2013 Received IRAI from CMS	10/23/2013 Submitted IRAI response to CMS;	
6	13-010	Rehabilitative Services	8/1/2013	7/20/2013	9/20/2013	9/30/2013	9/25/2013	11/18/2013 Received IRAI from CMS;		
7	13-011	Eugenics	6/1/2015	8/9/2013	10/9/2013	9/30/2013	9/24/2013	11/20/2013 Conference Call Scheduled with CMS to discuss IRAI		
8	13-012	Dental (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
9	13-013	DME (Rate Freeze) Durable Medical Equipment	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
10	13-014	PRTF (Rate Freeze)Psychiatric Residential Treatment Facilities	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	10/21/13 Received an IRAI from CMS	11/19/2013 Submitted IRAI responses to CMS	
11	13-015	ARCM (Rate Freeze) At Risk Children & Adults	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
12	13-016	TCM-MHSA (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/12/2013 IRAI received from CMS	11/12/2013 Submitted IRAI response to CMS	
13	13-017	TCM-IDD (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/12/2013 IRAI received from CMS	11/12/2013 Submitted IRAI response to CMS	

DHHS-Division of Medical Assistance
Proposed Submitted SPAs

Count	Transmittal Number	Subject of Amendment	Effective Date	Tribal Notification	Date Allowable for Submission	CMS Deadline	Received at CMS	Requested Additional Information	Additional Information Sent	Additional Comments
14	13-018	Rehab. Services (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
15	13-019	Extended Services to Pregnant Women (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
16	13-020	End-Stage Renal Disease/Dialysis (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	10/21/13 Received an IRAI from CMS	10/22/2013 Submitted IRAI Responses	10/22/2013 Submitted IRAI Responses
17	13-021	O & P (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS	11/19/2013 Conference Call with CMS	
18	13-022	Nurse Practitioner (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS	11/19/2013 Conference Call with CMS	
19	13-023	Physician Assistants (Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS	11/19/2013 Conference Call with CMS	
20	13-024	HIV-TCM (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS	11/19/2013 Conference Call with CMS	
21	13-025	HIT (Rate Freeze) Home Infusion Therapy	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS	11/19/2013 Conference Call with CMS	
22	13-026	PDP (Rate Freeze) Physician Drug Program	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	10/28/2013 CMS submitted an IRAI	10/30/2013 submitted IRAI responses to CMS	
23	13-027	Nurse Mid-Wife & CRNA (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
24	13-028	LEA (Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
25	13-029	Labs & X-Ray (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
26	13-030	MH-IPP, Podiatry, Chiropractor and Other Licensed Practitioners (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
27	13-031	Ambulance (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
28	13-032	GeroPsych,Vent,ILOC,Head (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	10/21/2013 Received IRAI from CMS		
29	13-033	Physicians (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
30	13-034	Optical (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
31	13-035	Licensed Non-Phy. Svc. (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
32	13-036	Hearing Aids (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		

DHHS-Division of Medical Assistance
Proposed Submitted SPAs

Count	Transmittal Number	Subject of Amendment	Effective Date	Tribal Notification	Date Allowable for Submission	CMS Deadline	Received at CMS	Requested Additional Information	Additional Information Sent	Additional Comments
33	13-037	Ambulatory Surgical Ctr. (Rate Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
34	13-038	Inpatient Hospital (Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	10/31//2013 Received IRAI	11/19/2013 Submitted IRAI responses to CMS	
35	13-039	Home Health & PDN (Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
36	13-040	Local Health Departments (LHD) (Freeze)	8/1/2013	7/11/2013	9/11/2013	9/30/2013	9/24/2013	11/19/2013 Conference Call with CMS		
37	13-041	Health Coverage for Workers w/Disabilities (HCWD)	8/1/2013	8/1/2013	10/1/2013	9/30/2013	9/30/2013	10/25/2013 Received IRAI from CMS; 11/06/2013 Additional IRAI received from CMS	11/06/2013 Submitted IRAI to CMS; 11/13/2013 Submitted additional IRAI to CMS	
38	13-042	Medicaid Drug Rebate Supplemental	10/1/2013	9/16/2013	11/16/2013	12/31/2013	11/19/2013			
39	13-043	Family Planning (Implement to NCTRACKS)	5/1/2014	11/21/2013	1/21/2014	3/31/2014	Pending			
40	13-044	Medicaid-Co-Pay	11/1/2013	10/9/2013	12/9/2013	12/31/2013	Pending			
41	13-045	Health Coverage for Workers w/Disabilities (HCWD)-Part 2 TO Add the Premium Payme	10/1/2013	10/18/2013	Pending	12/31/2013	Pending			
Pending Internal Processing and Submission to CMS										
42	Pending	Hospital Base Rates	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
43	Pending	Hospital Outpatient Payments at 70% of Costs	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
44	Pending	ECU/UNC Physician UPL Payments (Modify Physician State plan - modified by SL 2013-363 S 4.14(a)	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
45	Pending	Change in Pharmacy Pricing - modified by SL 2013-363 Sect. 4.13	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
46	Pending	Shared Savings - Inpatient Hospital Services	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
47	Pending	Shared Savings - Physician; excludes PCP until 1-1-15	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
48	Pending	Shared Savings - Dental	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
49	Pending	Shared Savings - Optical Services & Supplies	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
50	Pending	Shared Savings - Podiatry	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
51	Pending	Shared Savings - Dental	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
52	Pending	Shared Savings - Optical Services & Supplies	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
53	Pending	Shared Savings - Podiatry	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
54	Pending	Shared Savings - Nursing Homes	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
55	Pending	Shared Savings - Adult Care Homes	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
56	Pending	Shared Savings - Dispensing Drugs	1/1/2014	10/9/2013	12/9/2013	3/31/2014	Pending			
57	Pending	Modify rates for merged hospital and physician practices	No Date	10/9/2013	12/9/2013	Pending	Pending			
58	Pending	Develop Regional Hospital Rates	No Date	10/9/2013	12/9/2013	Pending	Pending			
Proposed Medicaid Eligibility & Benefits State Plan Amendments										
		BUCKET 1 (MAGI-Based Eligibility Groups)								
		<u>Mandatory</u>								

DHHS-Division of Medical Assistance
Proposed Submitted SPAs

Count	Transmittal Number	Subject of Amendment	Effective Date	Tribal Notification	Date Allowable for Submission	CMS Deadline	Received at CMS	Requested Additional Information	Additional Information Sent	Additional Comments
59	13-0014-MM14-Form#S25,	Parents and Other Caretakers	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013	10/10/2013 Received IRAI from CMS	11/12/2013 Submitted informal RAI to CMS	
60	13-0002-MM2 Form#S28	Pregnant Women*	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013			
61	13-0003-MM3 Form#S30	Infants and Children Under Age 19*	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013	10/10/2013 Received IRAI from CMS; 11/20/2013 Received an IRAI from CMS	11/12/2013 Submitted informal RAI to CMS	
62	13-0004-MM4 Form#S32	Individuals Below 133% of the FPL	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013			
63	13-0005-MM5 Form#S33	Former Foster Care Children up to age 26	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013			
		Optional								
64	13-0006-MM6 Form#S50	Individuals Above 133% of the FPL	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013			
65	13-0007-MM7 Form#S51	Optional Parents and Caretakers	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013			
66	13-0008-MM8 Form#S52	Reasonable Classifications of Individuals	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013	10/10/2013 Received IRAI from CMS; 11/20/2013 Received an IRAI from CMS	11/12/2013 Submitted informal RAI to CMS	
67	13-0009-MM9 Form#S53	Non IV-E Adoption Assistance	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013			
68	13-0010-MM10 Form#S54	Optional Targeted Low Income Children	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013			
69	13-0011-MM11 Form#S55	Tuberculosis	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013	Pending	9/24/2013	9/24/2013
70	13-0012-MM12 Form#S57	Foster Care Adolescents - Chafee	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013	Pending	9/24/2013	9/24/2013
71	13-0013-MM13 Form#S59	Family Planning	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013	10/10/2013 Received IRAI from CMS; 11/20/2013 Received an IRAI from CMS	11/12/2013 Submitted informal RAI to CMS	
72	13-0014-MM14 Form#S14	AFDC Income Standard	1/1/2014	7/25/2013	9/25/2013	12/31/2013	9/24/2013			
		BUCKET 2 (Eligibility Process)								
73	13-0030-MM30 Form #S94	Single streamlined application or alternative, Renewals, Coordination for enrollment and eligibility (agreements with Exchanges)	1/1/2014	8/9/2013	10/9/2013	12/31/2013	10/18/2013	11/12/2013 Received informal RAI from CMS		
		BUCKET 3 (Income Methodology)								
74	13-0015-MM15-Form S10	Designates the income options the state is electing in 2014 (e.g. how pregnant women are counted, reasonably predictable changes in income, cash support, how full-time students are counted)	1/1/2014	8/9/2013	10/9/2013	12/31/2013	10/18/2013			

DHHS-Division of Medical Assistance
Proposed Submitted SPAs

Count	Transmittal Number	Subject of Amendment	Effective Date	Tribal Notification	Date Allowable for Submission	CMS Deadline	Received at CMS	Requested Additional Information	Additional Information Sent	Additional Comments
		BUCKET 4 (Single State Agency)								
75	13-004-MM4-Form# A1, A2 & A3	Addresses single state agencies delegation of appeals and determinations	1/1/2014	8/19/2013	10/19/2013	12/31/2013	Pending			
		BUCKET 5 (Residency)								
76	13-0016-MM16-Form S 88	State affirms residency regulations	1/1/2014	8/9/2013	10/9/2013	12/31/2013	10/18/2013	10/11/2013 received informal RAI;	11/06/2013 submitted informal RAI responses	
		Addresses interstate agreements and temporary absence								
		BUCKET 6 (Citizenship)								
77	13-0017-MM17-Form S89	State affirms citizenship regulations, specifies reasonable opportunity options, and specifies policy options related to immigrant eligibility	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013	10/11/2013 received informal RAI;	11/06/2013 submitted informal RAI responses	
		BUCKET 7 (Hospital Presumptive Eligibility)								
78	Form # S21	State Specifies options for presumptive eligibility conducted by hospitals	1/1/2014	Pending	Pending	12/31/2013	Pending			
		CHIP MAGI Eligibility State Plan Amendments								
		BUCKET 1 (MAGI Eligibility & Methods)								
79	Form #CS7	Targeted Low-Income Children	1/1/2014	7/25/2013	9/25/2013	12/31/2013	10/18/2013			
80	Form #CS10	Children with access to public employee coverage	1/1/2014	7/25/2013	9/25/2013	12/31/2013	10/18/2013			
81	Form #CS15	MAGI Income Methodology	1/1/2014	7/25/2013	9/25/2013	12/31/2013	10/18/2013			
82	Form #CS16	Spenddowns	1/1/2014	7/25/2013	9/25/2013	12/31/2013	10/18/2013			
83	Form# CS8	Targeted Low-income Pregnant Women	1/1/2014	7/25/2013	9/25/2013	12/31/2013	Pending			
84	Form# CS9	Conception to birth	1/1/2014	7/25/2013	9/25/2013	12/31/2013	Pending			
85	Form# CS13	Deemed newborns	1/1/2014	7/25/2013	9/25/2013	12/31/2013	Pending			
86	Form# CS11	Pregnant women with access to public employee coverage	1/1/2014	7/25/2013	9/25/2013	12/31/2013	Pending			
87	Form# CS12	Dental only coverage	1/1/2014	7/25/2013	9/25/2013	12/31/2013	Pending			
		BUCKET 2 (XXI Medicaid Expansion)								
88	13-0009-MC Form# CS3	Set MAGI-based income standards for CHIP Medicaid Expansions. Establish new Medicaid eligibility group for 6-18 year olds with incomes between 100-133% of the FPL	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013			
		BUCKET 3 (Establish 2101(f) Group)								
89	Form# CS14	Establish new coverage group for children who lose Medicaid eligibility as a result of discontinuation of disregards	1/1/2014	8/19/2013	10/19/2013	12/31/2013				

DHHS-Division of Medical Assistance
Proposed Submitted SPAs

Count	Transmittal Number	Subject of Amendment	Effective Date	Tribal Notification	Date Allowable for Submission	CMS Deadline	Received at CMS	Requested Additional Information	Additional Information Sent	Additional Comments
		BUCKET 4 (Eligibility Process)								
90	13-0011-MC11- Form# CS24	Single, Streamlined Application	1/1/2014	8/9/2013	10/9/2013	12/31/2013	10/18/2013			
		Screen and Enroll Process								
		Renewals								
		Screening by Other Insurance Affordability Programs								
		BUCKET 5 (Non-Financial Eligibility)								
91	13-0012-MC12 Form# CS17	Residency	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013			
92	13-0012-MC12 Form# CS18	Citizenship/Lawfully Residing Immigrants	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013			
93	13-0012-MC12 Form# CS19	Social Security Number	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013			
94	13-0012-MC12 Form# CS21	Premium Lock-Outs	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013			
95	13-0012-MC12 Form# CS27	Continuous Eligibility	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013			
96	13-0012-MC12 Form# CS28	Presumptive Eligibility - Children	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013			
97	13-0012-MC12 Form# CS29	Presumptive Eligibility - Pregnant Women	1/1/2014	8/19/2013	10/19/2013	12/31/2013	10/18/2013			
98	Form# CS23	Other Eligibility Standards	Pending	Pending	Pending	Pending	Pending			